



## PATENT APPLICATION

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

#3

In re application of

Docket No: Q65998

Makoto SUZUKI

Appln. No.: 09/939,706

Group Art Unit: 2661

Confirmation No.: 4443

Examiner: Unknown

Filed: August 28, 2001

For: ASYNCHRONOUS TRANSFER MODE SWITCHING SYSTEM

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**INFORMATION DISCLOSURE STATEMENT**  
**UNDER 37 C.F.R. §§ 1.97 and 1.98**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

1. Hidehiro Arimitsu et al. "Study of call connection in data exchange processing,"

Joho Shori Gakkai Kenkyu Hokoku [Information Processing Society of Japan Report]

92-DPS-58-4.

2. Hidehiro Arimitsu et al. "Study of reserved call connection schemes"

1993 Institute of Electronics, Information and Communication Engineers Fall Conference

B-501, 15 August 1993.

3. Japanese Unexamined Patent Application Publication H6-326776, published November 25, 1994.

4. Japanese Unexamined Patent Application Publication 2000-236355, published August 8, 2000.

# INFORMATION DISCLOSURE STATEMENT

U.S. Appln. No.:

One copy of each of the listed documents is submitted herewith.

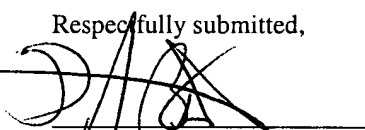
The present Information Disclosure Statement is being filed: (1) No later than three months from the application's filing date; (2) Before the mailing date of the first Office Action on the merits (whichever is later); or (3) Before the mailing date of the first Office Action after filing a request for continued examination (RCE) under §1.114, and therefore, no Statement under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for foreign language documents, Applicant encloses here with a copy of a corresponding Japanese Office Action dated February 3, 2004 and an English translation of the pertinent portions thereof which cites such documents and indicates the degree of relevance found by the foreign office.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

The USPTO is directed and authorized to charge all required fees, except for the Issue Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any overpayments to said Deposit Account. A duplicate copy of this paper is attached.

Respectfully submitted,



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WASHINGTON OFFICE

**23373**

CUSTOMER NUMBER

Date: April 12, 2004

Substitute for Form 1449 A &amp; B/PTO



# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet

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of

1

Complete if Known

Application Number	09/939,706
Confirmation Number	4443
Filing Date	August 28, 2001
First Named Inventor	Makoto SUZUKI
Art Unit	2661
Examiner Name	Unknown
Attorney Docket Number	Q65998

## U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		Number	Kind Code <sup>2</sup> (if known)		
		US			
		US			
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## FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Translation <sup>6</sup>
		Country Code <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)			
		JP	2000-236355	A	08-29-2004		NO
		JP	6-326776		11-25-1994		NO

## NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.	Translation <sup>6</sup>
		Hidehiro Arimitsu et al. "Study of call connection schemes in data exchange processing," Joho Shori Gakkai Kenkyu Hokoku[information Processing Society of Japan Report] 92- DPS- 58 -4 (Multimedia Communication and Distributed Processing: 58-4 ( 19 November 1992).	
		Hidehiro Arimitsu et al. " Study of reserved call connection schemes" 1993 Institute of Electronics, Information and Communication Engineers Fall Conference B-501, 15 August 1993.	

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kind Codes of USPTO Patent Documents at www.uspto.gov, MPEP 901.04 or in the comment box of this document. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to indicate here if English language Translation is attached.